

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

Method and Apparatus for Displaying Multiple Contexts in  
Electronic Documents

Application Number : 09/556865



Confirmation Number: 6686

First Named Applicant: Darryl Rubin

Attorney Docket Number: 003797.80028

Art Unit: 2178

Examiner: Matthew Ludwig

Search string: ( 6320577 or 6573907 or 6687878 or 6871318 or 5390138 or 6636238 or 6055538  
or 5801685 or 5644674 or 6144375 or 6418421 or 20020194260 ).pn

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

## US Patent Documents

**Note:** Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<i>MF</i>	1	6320577	2001-11-20	Alexander			
<i>MF</i>	2	6573907	2003-06-03	Madrane			
<i>MF</i>	3	6687878	2004-02-03	Eintracht et al.			
<i>MF</i>	4	6871318	2005-03-22	Wynblatt et al.			
<i>MF</i>	5	5390138	1995-02-14	Milne et al.			
<i>MF</i>	6	6636238	2003-10-21	Amir et al.			
<i>MF</i>	7	6055538	2000-04-25	Kessenich et al.			
<i>MF</i>	8	5801685	1998-09-01	Miller et al.			
<i>MF</i>	9	5644674	1997-07-01	Aihara et al.			
<i>MF</i>	10	6144375	2000-11-07	Jain et al.			
<i>MF</i>	11	6418421	2002-07-09	Hurtado et al.			

## US Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Published Applications**

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<i>M</i>	1	20020194260	2002-12-19	Headley et al.			

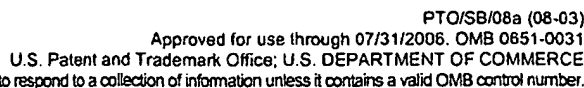
### Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

### Signature

Examiner Name	Date
<i>Matthew Leidy</i>	<i>11/10/05</i>



1

<i>Application Number</i>	09/556,865
<i>Filing Date</i>	April 21, 2000
<i>First Named Inventor</i>	Darryl E. RUBIN
<i>Art Unit</i>	2178
<i>Examiner Name</i>	Matthew J. Ludwig
<i>Attorney Docket Number</i>	003797.80028

[illegible]

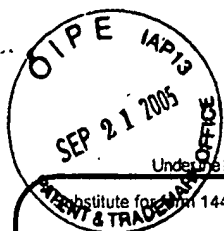
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

11/10/09

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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/556,865
		Filing Date	April 21, 2000
		First Named Inventor	Darryl E. RUBIN
		Art Unit	2178
		Examiner Name	Matthew J. Ludwig
Sheet 1 of 1	Attorney Docket Number	003797.80028	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
MT		US- 5,237,648	08/1993	Mills, et al.	
MT		US- 5,761,683	06/1998	LOGAN, et al.	
MT		US- 5,786,814	07/1998	Moran, et al.	
MT		US- 5,923,326	07/1999	Bittinger, et al.	
MT		US- 5,924,104	07/1999	EARL	
MT		US- 6,025,841	02/2000	Finkelstein, et al.	
MT		US- 6,141,007	10/2000	Lebling, et al.	
MT		US- 6,151,622	11/2000	FRAENKEL, et al.	
MT		US- 6,320,169	11/2001	Clothier, Brian L.	
MT		US- 6,331,866	12/2001	Eisenberg, Peter M.	
MT		US- 6,437,807	08/2002	Berquist, et al.	
MT		US- 6,549,878	04/2003	Lowry, et al.	
MT		US- 6,662,310	12/2003	Lopez, et al.	
MT		US-6,772,139	08/2004	SMITH	
MT		US-6,788,316	09/2004	MA, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
MT		EP 0 890 926 A1	01/1999	Ishikawa		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MT		C. Marshall, "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140.	
MT		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5.	
MT		D. Munyan, "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards." 09/1998, <a href="http://www.futureprint.kent.edu/articles/munyan0.1.htm">http://www.futureprint.kent.edu/articles/munyan0.1.htm</a> , pp. 1-7.	
MT		PhotoImpact 3.0, Ulead Systems Inc., 1996.	

Examiner Signature	<i>Matthew Ludwig</i>	Date Considered	11/10/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of documents by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.